

PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/912,609	
	Filing Date	July 25, 2001	
	First Named Inventor	Evan C. Unger	
	Art Unit	1617	
	Examiner Name	Shahnam J. Sharareh	
Mail Stop	Non-Fee Amendment	Attorney Docket Number	5030-0001.24

ENCLOSURES (Check all that apply)					
<input checked="" type="checkbox"/> No fee due <input checked="" type="checkbox"/> Fee Transmittal <input type="checkbox"/> Fee(s) due <input type="checkbox"/> Fee Transmittal <input type="checkbox"/> Check for \$* <input checked="" type="checkbox"/> Charge any underpayment or credit any overpayment to Deposit Account No. 18-0580 <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement & Form(s) PTO-1449 <input type="checkbox"/> Copy(ies) of cited reference(s) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts / Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s):	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <u>Return Post Card.</u>			
Remarks:					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Name (print/type)	Shelley P. Eberle	Registration No. (Attorney/Agent)	31,411	Telephone	(650) 330-0900
Signature	<i>Shelley P. Eberle</i>			Date	7-31-03

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 31, 2003.	
Name (print/type)	Joe Clark
Signature	<i>Joe Clark</i>
Date	31 July 2003

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PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032  
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**FEE TRANSMITTAL**  
**for FY 2003**

Effective 01/01/03. Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/912,609
Filing Date	July 25, 2003
First Named Inventor	Evan C. Unger
Examiner Name	Shahnam J. Sharareh
Group Art Unit	1617
Attorney Docket No.	5030-0001.24

☒ Applicant claims small entity status. Sec 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$0

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None☐ Deposit Account:

Deposit Account No. \_\_\_\_\_

Deposit Account Name \_\_\_\_\_

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge any underpayment or credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) \$

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
100	4		0		
			0		

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claim in excess of 20
1201	64	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$

**SUBMITTED BY**

Name (Print/Type) Shelley P. Eberle

Registration No. (Attorney/Agent) 31,411

Signature

Shelley P. Eberle

Telephone

Complete (if applicable)

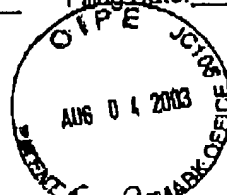
(650) 330-0900

Date

7-31-03

F:\Document\5030\0001\24\Restriction Requirement Fee Transmittal.doc

Attorney Docket No.: 5030-0001.24 Mailing Date: July 31, 2003  
Inventor(s): Evon C. Unger et al. ✓  
Serial No.: 09/912,609 Filing Date: July 25, 2003  
Document(s):  
1 Transmittal Form;  
1 Fee Transmittal;  
Response to Requirement for Restriction and Election  
of Species;  
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## REED &amp; EBERLE LLP

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WWW.REEDPATENT.COM

*Please  
enter  
[Signature]*

## FACSIMILE TRANSMITTAL SHEET

TO:	Examiner Shalnam J. Sharareh Group Art Unit 1617	FACSIMILE NO.: (571) 273-0630 TELEPHONE NO.: (571) 272-0630
FROM:	Shelley P. Eberle Reg. No. 31,411	FACSIMILE NO.: (650) 330-0980 TELEPHONE NO.: (650) 330-0900
DATE:	August 26, 2004	PAGE 1 OF: 6
SUBJECT:	In re Application of: Evan C. UNGER et al. Serial No.: 09/912,609 Filed: July 25, 2001 Confirmation No.: 8279 Title: NOVEL TARGETED DELIVERY SYSTEMS FOR BIOACTIVE AGENTS Atty Dkt No.: 5030-0001.24	CONFIRMATION COPY TO FOLLOW: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Pursuant to your request, attached is a copy of the Response To Requirement For Restriction And Election Of Species, and the accompanying transmittal documents, as mailed to the Patent Office on July 31, 2003. Also attached is a copy of the postcard that accompanied the Response, date stamped by the Patent Office as being received on August 4, 2003.

Please give me a call should you require anything further.

*Shelley Eberle*

Shelley P. Eberle  
Registration No. 31,411

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